PSYCHOLOGICAL ASSESSMENT SERVICES

CLINICAL & FORENSIC PSYCHOLOGY

2107 N. Broadway Suite 207 Santa Ana, CA 92706 Mailing & Billing Address:
P.O. Box 6299
Laguna Niguel, CA 92607
(714)972-0040
Fax (714)972-0477

6/3/2020

Attn.
Sedgwick Claims Management
P.O. Box 14563
Lexington, KY 40512

RE: Darlene Walls

vs. Kaiser Permanente

SSN: 558-37-5679

CL#:

To Whom It May Concern:

Please be advised that our office has an outstanding bill and/or lien for medical services rendered in connection with the above-captioned workers' compensation case. Kindly place our office on the official mailing list for service of process.

Pursuant to California Code of Regulations (CCR) Section(s) 10608, 10615, 10622, 10500, 10510, 10886, and Beverly Hills Multi Specialty Group v. WCAB (1994) 26 CA4th 789, demand for service upon this office is made of the defendant(s), insurance carrier(s), and employer(s) in this case for any and all unprivileged documentary evidence relating to this case, including, but not limited to, the entire medical file, medical reports (including evaluating physicians), pleadings, deposition transcripts of any person including the applicant, witness statements, claims file, compromise and release, stipulation with request for award, findings and award, findings and order, and WCAB order, determination and order, personnel documents demonstrating compliance with Labor Code Sections 3550 and 3551, employers' report of occupational injury and illness, transmittal letter(s) to Agreed/Panel Medical Examiner(s), transmittal letter to defendant doctors, benefit printouts, denial letters, notice of termination of benefits, delay letters, and any other documents upon which defendants base their objections to our lien interest, demand is also made for any and all supporting wage records relating to the injured worker in this case.

Please be advised that we only accept hard copies no cd's or email.

Thank you for your prompt attention to this matter.

Sincerely,

Nina Lofton

DOCTOR'S FIRST REPORT OF OCCUPATIONAL INJURY OR ILLNESS

Within 5 days of your initial examination, for every occupational injury or illness, send two copies of this report to the employer's workers' compensation insurance carrier or the insured employer. Failure to file a timely doctor's report may result in assessment of a civil penalty. In the case of diagnosed or suspected pesticide poisoning, send a copy of the report to Division of Labor Statistics and Research, P.O. Box 420603, San Francisco, CA 94142-0603, and notify your local health officer by telephone within 24 hours.

INSURER NAME AND ADDRESS Sedgwick Claims Management	P.O. Box 14563	Lexington, KY 40512	PLEASE DO NOT USE THIS COLUMN
2. EMPLOYER NAME			Case No.
Kaiser Permanente			
3. Address No. and Street	City	Zip	Industry
9333 Imperial Hwy.	Downey, CA 90242		
4. Nature of business (e.g., food manufacturing, building c	onstruction, retailer of women's clothe	es.)	County
and the second			
5. PATIENT NAME (first name, middle initial, last name Darlene Walls	6. Sex M	tale X Female 7. Date of 7/23/1967 Yr Birth 87/23/1967	Age
8. Address: No. and Street Cit 16323 Cornuta Ave. Apt 8	Bellflower, CA 90706	9. Telephone number (213)401-8827	Hazard
10. Occupation (Specific job title)		11. Social Security Number 558-37-5679	Disease
12. Injured at: No. and Street	City Co	ounty	Hospitalization
13. Date and hour of injury Mo. Day Yr.	Hour	14. Date last worked Mo. Day Yr	Occupation
	18-12/31/2018;7/1/2018-1/29/2	2020	
[프론트] 교육은 10 - 10 - 40 번 기계 (10		. m. . 1	
15. Date and hour of first Mo. Day Yr.	Hour	16. Have you (or your office)previously	Return Date/Code
examination or treatment 05/27/2020		treated patient? Yes No	is nortion shall
Patient please complete this portion, if able to do so. O	therwise, doctor please complete imme	ediately, mapping or failure of a patient to complete in	is portion shan

Patient please complete this portion, if able to do so. Otherwise, doctor please complete immediately, inability or failure of a patient to complete this portion shall not affect his/her rights to workers' compensation under the California Labor Code.

17. DESCRIBE HOW THE ACCIDENT OR EXPOSURE HAPPENED. (Give specific object, machinery or chemical. Use reverse side if more space is

required.) The patient reports that, while working for Kaiser Foundation Hospitals DBA Medical Center/Kaiser Permanente Downey Medical Center/ Kaiser Foundation Hospitals DBA Medical Center for Diabe, she was exposed to work stress, work pressure, work overload, and incidents of harassment by her coworkers. With time, the patient developed pain in her arms, wrists, shoulders, back, and which she related to the heavy and repetitive nature of her work. As a result of her work exposure and persisting pain, she developed symptoms of anxiety, depression, and insomnia.

18. SUBJECTIVE COMPLAINTS (Describe fully. Use reverse side if more space is required.)

The patient reports feeling sad, helpless, hopeless, lonely, afraid, terrified, scared, angry, and irritable. She tends to socially isolate and withdraw from others. She experiences conflicts with others due to her irritable mood. She has lost confidence in herself and interest in her appearance. She lacks motivation. She has lost interest in her usual activities, as she no longer enjoys these activities as she once did. She experiences crying episodes. At times, she feels like crying. She feels much more sensitive and emotional than she once was. She has an increased appetite and estimates that she has gained approximately ten pounds. She has difficulty controlling her impulses. She reports sleep difficulties due to her excessive worries and pain. She awakens throughout the night and early in the morning. She maintains a low energy level and feels easily tired and fatigued throughout the day. She experiences distressing dreams, flashbacks, and intrusive recollections. She reports angry outbursts. She feels nervous, restless, agitated, and tense. She has difficulty concentrating and remembering things. She is fearful without cause and worries excessively. She worries about the possibility of future surgery. She is bothered by episodes of dizziness, muscle tension, numbness, tingling sensations, and wobbliness in her legs. She feels unable to relax. She fears the worst happening and losing control. She feels pessimistic and self-critical. She has a decreased sexual desire. She reports gastrointestinal disturbances, headaches, and hypertension. Her headaches are exacerbated and/or triggered when she feels under stress and as her mood worsens.

19. OBJECTIVE FINDINGS (Use reverse side if more space is required.)

A. Physical examination

The patient presented with an anxious and sad mood, depressed affect, and memory difficulties.

B. X-ray and laboratory results (State if none or pending.)

Psychological testing revealed significant depressive and anxious symptoms.

DOCTOR'S FIRST REPORT OF OCC	UPATIONAL INJURY OR ILLNESS
20. DIAGNOSIS (if occupational illness specify etiologic agent and duration of exposur	
AXIS I: Major Depressive Disorder, Single Episode, Mild (
Anxiety Disorder Not Otherwise Specified (F41.9).	
Insomnia Related to Generalized Anxiety Disorder	
Stress-Related Physiological Response Affecting H	leadaches (F54).
21. Are your findings and diagnosis consistent with patient's account of injury or onset of	of illness? X Yes No If "no", please explain.
22. Is there any other current condition that will impede or delay patient's recovery?	X Yes No If "yes", please explain.
The patient's chronic sleep disturbances, persisting pain, and	
23. TREATMENT RENDERED (Use reverse side if more space is required.) Psyc	
PLEASE BE ADVISED, a more comprehensive report will	be issued with RFAs for the treatment listed below.
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	and the company of the second company of the contract of the c
24. If further treatment required, specify treatment plan/estimated duration Cognitive Behavioral Group Psychotherapy (90853) 12	X/week for 8 weeks
Cognitive Denavioral Group I sychotherapy (20033) 12	Trees to the content of the content
Manual Delevation Training (0000) 1V/wools	fow Qurrante
Hypnotherapy/ Relaxation Training (90880) 1X/week	iur o weeks.
All the state of t	
Medical evaluation to consider the use of psychotropic	
	회사 선물자는 이 작은 이 사람들 때문 다른 사람들은
Follow up in 45 days.	
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The research literature and treatment guidelines listed in the Appendix	x section of this report provides medical evidence in support of
the necessity and appropriateness of the treatment intervention recommendation	x section of this report provides medical evidence in support of mended for this patient (Please see Appendix sections I and II). Date Mo. Day Yr. Estimated stay
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I reviewed the medical and collateral records. <u>x</u> 15 mins. <u>___30 mins</u>

REQUEST FOR UTILIZATION REVIEW

The patient verbally consented to telehealth.

Darlene Walls

Page 2

DOCTOR'S FIRST REPORT OF OCCUPATIONAL INJURY OR ILLNESS

Within 5 days of your initial examination, for every occupational injury or illness, send two copies of this report to the employer's workers' compensation insurance carrier or the insured employer. Failure to file a timely doctor's report may result in assessment of a civil penalty. In the case of diagnosed or suspected pesticide poisoning, send a copy of the report to Division of Labor Statistics and Research, P.O. Box 420603, San Francisco, CA 94142-0603, and notify your local health officer by telephone within 24 hours.

1. INSURER NAME AND ADDRESS S.C.I.F.	P.O. Box 65005		Fresno	, CA 93650	PLEASE DO NOT USE THIS COLUMN
2. EMPLOYER NAME Kaiser Permanente					Case No.
3. Address No. and Street	City		Z	ip	Industry
9333 Imperial Hwy.	Downey, CA 9	90242			
4. Nature of business (e.g., food manufacturing, building cor	nstruction, retailer of women's	s clothes.)			County
5. PATIENT NAME (first name, middle initial, last name) Darlene Walls		6. Sex Male	Female	7. Date of 3/23/1967 Yr Birth 73/23/1967	Age
8. Address: No. and Street Ave. Apt 8 City.	Bellflower, CA 9070	6	9. Telephon (213	5,401-8827	Hazard
10. Occupation (Specific job title)			11. Social S 558	ecurity Number -37-5679	Disease
12. Injured at: No. and Street	City	County			Hospitalization
13. Date and hour of injury Mo. Day Yr. or onset of illness 1/1/2015-1/20/2020;7/1/2015	Hour 8-12/31/2018;7/1/2018- a.m.	1/29/2020 p.m.	14. Date las	t worked Mo. Day Yr	Occupation
15. Date and hour of first Mo. Day Yr. examination or treatment 05/27/2020 Patient please complete this portion, if able to do so. Other	Hour a.m.	p.m.	treated	u (or your office)previously patient? Yes No	Return Date/Code

Patient please complete this portion, if able to do so. Otherwise, doctor please complete immediately, inability or failure of a patient to complete this portion shall not affect his/her rights to workers' compensation under the California Labor Code.

17. DESCRIBE HOW THE ACCIDENT OR EXPOSURE HAPPENED. (Give specific object, machinery or chemical. Use reverse side if more space is

required.) The patient reports that, while working for Kaiser Foundation Hospitals DBA Medical Center/Kaiser Permanente Downey Medical Center/ Kaiser Foundation Hospitals DBA Medical Center for Diabe, she was exposed to work stress, work pressure, work overload, and incidents of harassment by her coworkers. With time, the patient developed pain in her arms, wrists, shoulders, back, and which she related to the heavy and repetitive nature of her work. As a result of her work exposure and persisting pain, she developed symptoms of anxiety, depression, and insomnia.

18. SUBJECTIVE COMPLAINTS (Describe fully. Use reverse side if more space is required.)

The patient reports feeling sad, helpless, hopeless, lonely, afraid, terrified, scared, angry, and irritable. She tends to socially isolate and withdraw from others. She experiences conflicts with others due to her irritable mood. She has lost confidence in herself and interest in her appearance. She lacks motivation. She has lost interest in her usual activities, as she no longer enjoys these activities as she once did. She experiences crying episodes. At times, she feels like crying. She feels much more sensitive and emotional than she once was. She has an increased appetite and estimates that she has gained approximately ten pounds. She has difficulty controlling her impulses. She reports sleep difficulties due to her excessive worries and pain. She awakens throughout the night and early in the morning. She maintains a low energy level and feels easily tired and fatigued throughout the day. She experiences distressing dreams, flashbacks, and intrusive recollections. She reports angry outbursts. She feels nervous, restless, agitated, and tense. She has difficulty concentrating and remembering things. She is fearful without cause and worries excessively. She worries about the possibility of future surgery. She is bothered by episodes of dizziness, muscle tension, numbness, tingling sensations, and wobbliness in her legs. She feels unable to relax. She fears the worst happening and losing control. She feels pessimistic and self-critical. She has a decreased sexual desire. She reports gastrointestinal disturbances, headaches, and hypertension. Her headaches are exacerbated and/or triggered when she feels under stress and as her mood worsens.

19. OBJECTIVE FINDINGS (Use reverse side if more space is required.)

A. Physical examination

The patient presented with an anxious and sad mood, depressed affect, and memory difficulties.

B. X-ray and laboratory results (State if none or pending.)

Psychological testing revealed significant depressive and anxious symptoms.

DOCTOR'S FIRST REPORT OF OCCUPATIONAL INJURY OR HLINESS

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20. DIAGNOSIS (if occupational illness specify etiologic agent and duration of exposu	
AXIS I: Major Depressive Disorder, Single Episode, Mild (
Anxiety Disorder Not Otherwise Specified (F41.9)	
Insomnia Related to Generalized Anxiety Disorder	and Chronic Pain (F51.05).
Stress-Related Physiological Response Affecting H	leadaches (F54).
21. Are your findings and diagnosis consistent with patient's account of injury or onset of	of illness? X Yes No If "no", please explain.
22. Is there any other current condition that will impede or delay patient's recovery?	
The patient's chronic sleep disturbances, persisting pain, and	그 그리고 있는 생물에 가는 경에 가장 그를 가장 하지 않는 것이 없는 것이 없다.
23. TREATMENT RENDERED (Use reverse side if more space is required.) Psyc	
PLEASE BE ADVISED, a more comprehensive report will	be issued with RFAs for the treatment listed below.
24. If further treatment required, specify treatment plan/estimated duration	전에 발해 가장 있는 이 경험에 가장하는 것 같은 사람들이 되었다.
Cognitive Behavioral Group Psychotherapy (90853) 12	K/week for 8 weeks.
아파인은 이 아들이 되어 이렇게 어떻 뭐라 때면을 봐요?	엄마 이 없는 전문 한 대학 물리 모든 경기는 본 경기를 하여 있다.
Hypnotherapy/Relaxation Training (90880) 1X/week	for 8 weeks.
	하면 있다면 이렇게 다른 이 아니는 어때를 모임을
Madical avaluation to consider the use of nevel attention	
Medical evaluation to consider the use of psychotropic	
	네이 하늘에 그 모아이 하면 그렇게 문항 목근하장 하셨다.
Follow up in 45 days.	그 되어놓아 그는 그렇게 아니고 있는 왜 날리는 말이 되어?
	집 등 소리를 보다 이 일반 사람들이 다른 사람들이 되었다.
The research literature and treatment guidelines listed in the Appendix	
the necessity and appropriateness of the treatment intervention recom	
25. If hospitalized as inpatient, give hospital name and location	Date Mo. Day Yr. Estimated stay
이 말을 되고 하는 일 때에 가장 하는 것들은 전환하다.	Admitted / /
N/A	그 교통이 마음 이 시간을 보았다고 있었다면 바다 바다
26 WORK STATUS 1	
26. WORK STATUS Is patient able to perform usual work? Yes x If "no", date when patient can return to:	NG 사용을 하는 것도 하는 것으로 프로젝트 사용이 스스타운
Regular work / /	이 사용 그 에 걸 하나는 기계를 되었습니다. 이 아름다
Modified work / /	Specify restrictions: To be determined when patient reaches MMI status
1 M	PSY12317
Doctor's Signature	CA License Number
	아르지 않는 이 사는 이 사람 사람들이 얼마나 되어 되었다면 살아 있다.
Nelson J. Flores, Ph.D., QME	33-0889238
Doctor Name and Degree (please type)	IRS Number
된다. 이 교육에는 이 전에 들면 하는 사람들에 가장하는 사람들은 보고 하는 것이다. 하는 사람들은 이 전투를 들는 것을 들었다. 그 사람들은 사람들은 사람들은 사람들은 사람들은 사람들은 사람들이 되었다.	
Doctor Name and Degree (please type)	IRS Number
2107 N. Broadway #207, Santa Ana, CA 92706	(714) 972-0040
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2107 N. Broadway #207, Santa Ana, CA 92706 Address FORM 5021 (Rev. 4)	(714) 972-0040
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2107 N. Broadway #207, Santa Ana, CA 92706 Address FORM 5021 (Rev. 4)	(714) 972-0040 Telephone Number
2107 N. Broadway #207, Santa Ana, CA 92706 Address FORM 5021 (Rev. 4) 1992	(714) 972-0040 Telephone Number or fraudulent material statement or material representation
2107 N. Broadway #207, Santa Ana, CA 92706 Address FORM 5021 (Rev. 4) 1992 Any person who makes or causes to be made any knowingly false of the purpose of obtaining or denying workers' compensations.	(714) 972-0040 Telephone Number or fraudulent material statement or material representation essation benefits or payments is guilty of a felony.
2107 N. Broadway #207, Santa Ana, CA 92706 Address FORM 5021 (Rev. 4) 1992 Any person who makes or causes to be made any knowingly false of the purpose of obtaining or denying workers' competent of the purpose of obtaining or denying worke	(714) 972-0040 Telephone Number or fraudulent material statement or material representation essation benefits or payments is guilty of a felony.
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The patient verbally consented to telehealth.

Darlene Walls Page 2

DOCTOR'S FIRST REPORT OF OCCUPATIONAL INJURY OR ILLNESS

Within 5 days of your initial examination, for every occupational injury or illness, send two copies of this report to the employer's workers' compensation insurance carrier or the insured employer. Failure to file a timely doctor's report may result in assessment of a civil penalty. In the case of diagnosed or suspected pesticide poisoning, send a copy of the report to Division of Labor Statistics and Research, P.O. Box 420603, San Francisco, CA 94142-0603, and notify your local health officer by telephone within 24 hours.

1. INSURER NAME AND ADDRESS Amguard Ins	P.O. BOX 13)68	Wilkes	barre, PA 1870	PLEASE DO NOT USE THIS COLUMN
2. EMPLOYER NAME					Case No.
Kaiser Permanente					
3. Address No. and Street	Cit	y	Zi	p	Industry
9333 Imperial Hwy.	Downey, CA	90242			
4. Nature of business (e.g., food manufacturing, building	construction, retailer of wom	en's clothes.)	as entertain large light	marker in the second of the second of the second	County
5. PATIENT NAME (first name, middle initial, last name). Darlene Walls	ne)	6. Sex Male	X _{Female}	7. Date of Mo 1 Pay Yr Birth 03/23/1967	Age
8. Address: No. and Street 16323 Cornuta Ave. Apt 8	Bellflower, CA 90°	706	9. Telephone (213)	number 401-8827	Hazard
10. Occupation (Specific job title)			11. Social Se 558	curity Number -37-5679	Disease
12. Injured at: No. and Street	City	County			Hospitalization
13. Date and hour of injury Mo. Day Yr. or onset of illness 1/1/2015-1/20/2020;7/1/2	Hour .018-12/31/2018;7/1/201 a.m.	8-1/29/2020 p.m.	14. Date last	worked Mo. Day Yr	Occupation
15. Date and hour of first Mo. Day Yr. examination or treatment 05/27/2020	Hour a.m.	p.m.	treated p	or (or your office)previously patient? Yes No	Return Date/Code
Patient please complete this portion, if able to do so. (Otherwise doctor please com	olete immediate	ly, inability or f	ailure of a patient to complete th	is portion shall

Patient please complete this portion, if able to do so. Otherwise, doctor please complete immediately, inability or failure of a patient to complete this portion shall not affect his/her rights to workers' compensation under the California Labor Code.

17. DESCRIBE HOW THE ACCIDENT OR EXPOSURE HAPPENED. (Give specific object, machinery or chemical. Use reverse side if more space is

required.) The patient reports that, while working for Kaiser Foundation Hospitals DBA Medical Center/Kaiser Permanente Downey Medical Center/ Kaiser Foundation Hospitals DBA Medical Center for Diabe, she was exposed to work stress, work pressure, work overload, and incidents of harassment by her coworkers. With time, the patient developed pain in her arms, wrists, shoulders, back, and which she related to the heavy and repetitive nature of her work. As a result of her work exposure and persisting pain, she developed symptoms of anxiety, depression, and insomnia.

18. SUBJECTIVE COMPLAINTS (Describe fully. Use reverse side if more space is required.)

The patient reports feeling sad, helpless, hopeless, lonely, afraid, terrified, scared, angry, and irritable. She tends to socially isolate and withdraw from others. She experiences conflicts with others due to her irritable mood. She has lost confidence in herself and interest in her appearance. She lacks motivation. She has lost interest in her usual activities, as she no longer enjoys these activities as she once did. She experiences crying episodes. At times, she feels like crying. She feels much more sensitive and emotional than she once was. She has an increased appetite and estimates that she has gained approximately ten pounds. She has difficulty controlling her impulses. She reports sleep difficulties due to her excessive worries and pain. She awakens throughout the night and early in the morning. She maintains a low energy level and feels easily tired and fatigued throughout the day. She experiences distressing dreams, flashbacks, and intrusive recollections. She reports angry outbursts. She feels nervous, restless, agitated, and tense. She has difficulty concentrating and remembering things. She is fearful without cause and worries excessively. She worries about the possibility of future surgery. She is bothered by episodes of dizziness, muscle tension, numbness, tingling sensations, and wobbliness in her legs. She feels unable to relax. She fears the worst happening and losing control. She feels pessimistic and self-critical. She has a decreased sexual desire. She reports gastrointestinal disturbances, headaches, and hypertension. Her headaches are exacerbated and/or triggered when she feels under stress and as her mood worsens.

19. OBJECTIVE FINDINGS (Use reverse side if more space is required.)

A. Physical examination

The patient presented with an anxious and sad mood, depressed affect, and memory difficulties.

B. X-ray and laboratory results (State if none or pending.)

Psychological testing revealed significant depressive and anxious symptoms.

20. DIAGNOSIS (if occupational illness specify etiologic agent and duration of exposure AXIS I: Major Depressive Disorder, Single Episode, Mild (I	
Anxiety Disorder Not Otherwise Specified (F41.9).	
Insomnia Related to Generalized Anxiety Disorder	and Chronic Pain (F51.05).
Stress-Related Physiological Response Affecting He	
21. Are your findings and diagnosis consistent with patient's account of injury or onset of 22. Is there any other current condition that will impede or delay patient's recovery? The patient's chronic sleep disturbances, persisting pain, and 23. TREATMENT RENDERED (Use reverse side if more space is required.) Psych PLEASE BE ADVISED, a more comprehensive report will be 24. If further treatment required, specify treatment plan/estimated duration Cognitive Behavioral Group Psychotherapy (90853) 1X Hypnotherapy/ Relaxation Training (90880) 1X/week for the specific part of	illness? _x _ Yes No _ If "no", please explain. L _ Yes No _ If "yes", please explain. physical impairments. cological Evaluation pe issued with RFAs for the treatment listed below. /week for 8 weeks.
Medical evaluation to consider the use of psychotropic Follow up in 45 days.	medication.
The research literature and treatment guidelines listed in the Appendix	
the necessity and appropriateness of the treatment intervention recomm 25. If hospitalized as inpatient, give hospital name and location Date of the treatment intervention recomm Date of the treatment intervention recomm	
	그는 경기 집에 하게 되는 것이 하는 것이 하는 것이 없어요.
	Admitted / /
N/A	
26. WORK STATUS Is patient able to perform usual work? Yes X N If "no", date when patient can return to:	이 아이들이 있다는 사람이 하고 아이들이 모르는 때문
Regular work / _/	
	Specify restrictions: To be determined when patient reaches MMI status
Doctor's Signature	Specify restrictions: To be determined when patient reaches MMI status PSY12317 CA License Number
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Doctor's Signature Nelson J. Flores, Ph.D., QME	PSY12317 CA License Number
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Doctor's Signature Nelson J. Flores, Ph.D., QME Doctor Name and Degree (please type) 2107 N. Broadway #207, Santa Ana, CA 92706 Address	PSY12317 CA License Number
Doctor's Signature	PSY12317 CA License Number 33-0889238 IRS Number (714) 972-0040 Telephone Number
Doctor's Signature Nelson J. Flores, Ph.D., QME Doctor Name and Degree (please type) 2107 N. Broadway #207, Santa Ana, CA 92706 Address FORM 5021 (Rev. 4) 1992	PSY12317 CA License Number 33-0889238 IRS Number (714) 972-0040 Telephone Number fraudulent material statement or material representation
Nelson J. Flores, Ph.D., QME Doctor Name and Degree (please type) 2107 N. Broadway #207, Santa Ana, CA 92706 Address FORM 5021 (Rev. 4) 1992 Any person who makes or causes to be made any knowingly false or for the purpose of obtaining or denying workers' compensations.	PSY12317 CA License Number 33-0889238 IRS Number (714) 972-0040 Telephone Number fraudulent material statement or material representation sation benefits or payments is guilty of a felony.
Nelson J. Flores, Ph.D., QME Doctor Name and Degree (please type) 2107 N. Broadway #207, Santa Ana, CA 92706 Address FORM 5021 (Rev. 4) 1992 Any person who makes or causes to be made any knowingly false or	PSY12317 CA License Number 33-0889238 IRS Number (714) 972-0040 Telephone Number fraudulent material statement or material representation sation benefits or payments is guilty of a felony.

The patient verbally consented to telehealth.

Darlene Walls

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APPENDIX

- I. The following research literature provides medical evidence that the treatment modalities that I have recommended for this patient, including cognitive-behavioral and individual/group supportive psychotherapy, relaxation training, hypnosis and a combination of psychotherapy and psychotropic medications prescribed by a psychiatrist are reasonable and necessary for the treatment of patient's emotional symptomatology and persistent chronic pain.
 - 1. ACOEM's Occupational Medicine Practice Guidelines at pages 399 to 400, "The psychology literature contains much information about meditation, relaxation techniques, and biofeedback for stress and anxiety... using these techniques can be preventive or helpful for patients with specific physiologic responses to stress. Relaxation techniques include meditation, relaxation response, and progressive relaxation. These techniques are advantageous because they may modify the manifestations of daily, continuous stress."
 - 2. Experimental subjects suffering from chronic pain and treated in a multi-modality based setting including the provision of psychotherapy reported less pain, better control over pain, more pleasurable activities and feelings, less avoidance and less catastrophizing. In addition, disability was reduced in terms of social roles, physical functions and mental performance. (Basler HD, Jakle C, Kroner-Herwig B. Incorporation of cognitive-behavioral treatment in to the medical care of chronic low back patients: a controlled randomized study in German pain treatment centers. *Patient Educ Couns.* 1997; 31(2): 113-24.)
 - Farrugia, D. & Fetter, H. (2009). Chronic pain: Biological understanding and 3. treatment suggestions for mental health counselors. Journal of Mental Health Counseling, 31, 189-200. There is abundant research to support the effectiveness of psychological intervention for chronic pain, especially the application of cognitivebehavioral techniques (Turk, D., & Gatchel, R. (Eds.). (2002). Psychological approaches to pain management: A practitioner's handbook. New York: Guilford Press). More than any other counseling approach, the effectiveness of cognitivebehavioral therapy (CBT) in management and amelioration of chronic pain has been supported and empirically tested by outcome-based research (Thron, B. (2004). Cognitive therapy for chronic pain. New York: Guilford Press. Turk, D., & Gatchel, R. (Eds.). (2002). Psychological approaches to pain management: A practitioner's handbook. New York: Guilford Press). The goals of CBT are to moderate the demoralizing and potentially depressive experiences of the person in chronic pain and to encourage self-efficacy through psychological and physical behaviors that help enhance treatment outcomes (Turk, D. (2002). biopsychosocial perspective on chronic pain. In D. Turk & R. Gatchel (Eds.), Psychological approaches to pain management: A practitioner's handbook (pp. 138-158). New York: Guilford Press).

- Pavlek, M. (2008). Paining out: An integrative pain therapy model. Clinical 4. Social Work Journal, 36, 385-393. In recent years, leading pain-management centers, Centers for Mind-Body Medicine and Centers for Complementary and Alternative Medicine (CAM) have been addressing the complexity of chronic pain by focusing on holistic, integrative approaches based on biopsychosocial modalities, mind-body healing and alternative medicine (Caudill 1995; Osborne et al. 2006; Roelofs et al. 2002; Sandmaier 2000; Secor et al. 2004; Wallis 2005). The following modalities are effective in pain management: cognitive-behavioral therapeutic models for chronic pain (Caudill 1995; Grant and Havercamp 1995; Osborne et al. 2006), progressive relaxation (Hammond 1990; Shaw and Erlich 1987), and clinical hypnosis for chronic pain (Barber 1996; Hammond 1990; Erickson 1994; Erickson et al. 1990; Erickson et al. 1976; Rossi and Cheek 1988). Recently, leading painmanagement centers for Mind-Body Medicine and Centers for Complementary and Alternative Medicine (CAM) have been treating chronic pain through integrative approaches. Such integrative approaches are based on bipsychosocial modalities, mind-body healing and alternative medicine (Caudill 1995; Osborne et al. 2006; Roelofs et al. 2002; Sandmaier 2000; Secor et al. 2004; Wallis 2005). These approaches support that pain has to be understood and dealt with as a complex mindbody phenomenon that impacts a person's physical, mental social and emotional functioning (Barber 1996; Bloch 2000; Caudill 1995; Erickson 1994; Hammond 1990; Harma et al. 2002; Ohayon and Schatzberg 2003; Rossi and Cheek 1988). Previous research reveals that cognitive-behavioral therapy (CBT), clinical hypnosis and progressive relaxation have been effective modalities of treatment for chronic pain (Caudill 1995; Grant and Havercamp 1995; Osborne et al. 2006; Hammond 1990; Shaw and Erlich 1987; Barber 1996; Hammond 1990; Erickson 1994; Erickson et al. 1990; Erickson et al. 1976; Rossi and Cheek 1988).
- 5. Farrugia, D. & Fetter, H. (2009). Chronic pain: Biological understanding and treatment suggestions for mental health counselors. Journal of Mental Health Counseling, 31, 189-200. Numerous counseling strategies have been used successfully with persons who experience acute as well as chronic pain. A recent meta study found that cognitive behavioral therapy, behavioral techniques, self-regulatory techniques such as hypnosis and relaxation training, and general supportive counseling all proved not only helpful for clients coping with chronic pain but also reduced pain intensity. (Hoffman, B., Papas, R., Chatkoff, D. and Kerns, R. (2007). Meta-analysis of psychological interventions for chronic low back pain. Health Psychology, 26, 1-9).
- 6. Treatment with psychotherapy has also shown to cause a decrease in the degree to which pain interferes with activity, increasing the ability to cope with pain, and allowing a decreased use of some medications and other physical treatments (Puder RS. Age analysis of cognitive-behavioral group therapy for chronic pain outpatients. *Psychol Aging.* 1988; 3 (2): 204-7.)

- 7. Patients with mental disorders due to injuries involving pain and stress require the fellowship and spiritual elevation of group encounters with like members just as substance abuse and other medical condition patients require AA meetings or medical condition support groups with human contact to help offset isolation, depression and medical and emotional regression.
- 8. The following evidence in the literature documents the effectiveness of individual and group psychotherapy in chronic pain patients:
 - a) Gamsa A, Braha RE, Catchlove RF, The use of structured group therapy sessions in the treatment of chronic pain patients. *Pain.* 1985; 22(1), 91-6.; Spence SH.
 - b) Cognitive-behaviour therapy in the treatment of chronic, occupational pain of the upper limbs; a 2 year follow-up. *Behav Res Ther*. 1991; 29(5): 503-9.; Basler HD.
 - c) Group treatment for pain and discomfort. *Patient Educ Couns*.1993; 20(2-3): 167-75.; Li EJ, Li-Tsang CW, Lam CS, Hui KY, Chan CC.
 - d) The effect of a "training on work readiness" program for workers with musculoskeletal injuries: a randomized control trial (RCT) study. *J Occup Rehabil.* 2006; 16(4): 529-41.; Thorn BE, Kuhajda MC.
 - e) Group cognitive therapy for chronic pain. *J Clin Psychol*. 2006; 62(11): 1355-66.)
- 9. Pavlek,M. (2008), Paining Out: An Integrative Pain Therapy Model. Clinical Social Work J, 36, 385-393. The hypnotic phenomenon has been applied for many eras and by various cultures as a healing mechanism. The use of hypnosis first started with Franz Mesmer (1734-1815) (Battino and Thomas 1999; Erikson et al. 1990). One of the first times hypnosis was used to treat pain was in 1845 by Esdaile (1957). He was working as a surgeon in India and used hypnosis for surgical anesthesia and analgesia. The past decade, clinical hypnosis has gained more recognitions as a beneficial pain therapy tool. In 1995, A National Institute of Health (NIH) census found that clinical hypnosis is a reliable and effective modality for treating chronic and acute pain symptoms.
- 10. Alladin, A. and Alibhai, A (2007). Cognitive hypnotherapy for depression: an empirical investigation. Intl. Journal of Clinical and Experimental Hypnosis, 55(2), 147-166. There are six clinical reasons for utilizing hypnosis with depression:

 (a) hypnosis amplifies subjective experience, (b) hypnosis serves as a powerful method for interrupting symptomatic pattern, © hypnosis facilitates experimental learning, (d) hypnosis helps to bridge and to conceptualize responses, hypnosis provides different models of inner reality, and (f) hypnosis helps to establish focus

of attention. Yapko, M.D. (1992). Hypnosis and the treatment of depressions: Strategies for change. New York: Brunner/Mazel. The rationale for inducing hypnotic trance is to produce relaxation, somatosensory changes, and a sense of control. In a research study, the participants who attended the cognitive hypnotherapy group became intrigued that for the first time in their lives they were able to relax completely, replace their depressive feeling with good feeling, and felt empowered to realize that their "mind is so powerful." Hypnotherapists routinely observe such changes in their patients. This study reveals that the addition of hypnotherapy-an extra component to CBT, which largely focuses on changing behaviors and automatic thoughts-enhances treatment outcome.

- 11. The following research literature have found that cognitive therapy significantly improves symptoms compared with no treatment:
 - a) Gloaguen V, Cottraux J, Cucherat M, et al., A meta-analysis of the effects of cognitive therapy in depressed people 1998. J Affect Disord 1998; 49:59-72. Search date not stated; primary sources Medline, embase, references in books and Pappers, previous reviews and meta-analyses, abstracts from congress presentations and preprints sent by authors).
 - b) Elkin I, Shea MT, Watkins JT, et al. National Institute of Mental health treatment of depression and collaborative research program: general effectiveness of treatments. *Arch Gen Psychiatry* 1989; 46:971-982.
 - c) Mynors-Wallis LM, Gath DH, Lloyd-Thomas AR, et al. Randomized controlled trial comparing problem solving treatment with amitriptyline and placebo for major depression in primary care. *BMJ* 1995; 310:441-445.
 - d) Dowrick C, Dunn G, Ayuso-Mateos JL, et al. Problem solving treatment and group psychoeducation for depression: multicentre randomized controlled trial. *BMJ* 2000; 321: 1450-1454.
 - e) Bower P, Rowland N, Mellor Clark J, et al. Effectiveness and cost effectiveness of counseling in primary care. In: The Cochrane Library, Issue 2, 2002. Oxford: Update Software. Search date 2001; primary sources medline, Embase, psychlit, Cinahl, Cochrane Controlled Trials Register; CCDAN trials register, personal contact with experts and CCDAN members, search of unpublished sources (clinical trials, books, dissertations, agency reports, etc.), and hand searches of one journal and reference lists.

- 12. The following research literature substantiate that in patients with mild to severe depression, psychotherapy in addition to antidepressant medication is more useful/effective than either treatment alone:
 - a) Thase ME, Greenhouse JB, Frank E, et al. Treatment of major depression with psychotherapy or psychotherapy pharmacology combinations. *Arch Gen Psychiatry* 1997; 54:1009-1015. Pooled results of six research protocols conducted 1982-1992 at the Mental Health Clinical Research Center, University fo Pittsburgh School of Medicine.
 - b) Keller MB, McCullough JP, Klein DN, et al. A comparison of nefazodone, the cognitive behavioral-analysis system of psychotherapy and their combination for the treatment of chronic depression. *N. Engl J Med* 2000; 342:1462-1470.
 - c) DeJonghe F, Kool S, Van Aaist G, Dekker J, Peen J. Combining psychotherapy and antidepressants in the treatment of depression. *J Affect Discord* 2001; 64:217-299.
- 13. Gould RA, Otto MW, Pollack MH, et al. Cognitive behavioral and pharmacological treatment of generalized anxiety disorder: a preliminary meta-analysis. *Behav Res Ther* 1997; 28:285-305. Search date1996; primary sources Psychlit, Medline, examination of reference lists and unpublished articles presented at national conferences.
- II. The following ODG, APA, ACOEM Guidelines, and research literature provide medical evidence that the treatment modalities and the length of treatment recommended for this patient is reasonable and necessary.
 - 14. ODG Treatment, Integrated Treatment/Disability Duration Guidelines, Pain (Chronic) (updates 12/08/09) Behavioral interventions: Recommended. The identification and reinforcement of coping skills is often more useful in the treatment of pain than ongoing medication or therapy, which could lead to psychological or physical dependence. Several recent reviews support the assertion of efficacy of cognitive-behavioral therapy (CBT) in the treatment of pain, especially chronic back pain (CBP).
 - 15. The APA Practice Guidelines for Major Depression indicate that factors of patient preference and social issues should be considered for the provision of psychotherapy treatment plus medication management treatment. As well, in cases of persistent Major Depression, the Practice Guidelines do not provide for the discontinuation of either modality of treatment, either psychotherapy or medication. In other words, a numerical constriction of the number of psychotherapy or medication visits with the doctor would not be consistent with the standard of care for Major Depression according to the APA Practice Guidelines. "If the patient is symptomatic, the treatment should be continued."

- 16. In treating depression, continuation treatment has been found to be effective in preventing relapse (Loonen AJ, Peer PG, Zwanikken GJ. Continuation and maintenance therapy with antidepressive agents: meta-analysis of research. Pharm Week Sci 1991; 13:167-175. Search date not stated; primary sources references of textbooks and review articles, Medline, Embase and review of reference lists of primary studies).
- 17. **ACOEM Guidelines, Page 87,** if personal or psychological factors contribute to delayed recovery, psychological, psychiatric or other behavioral help intervention is appropriate. Continuing medication, physical therapy or surgery without appropriate psychological support in the face of treatment failure creates the expectation of disability.
- 18. ACOEM Guidelines, Page 109, treatment of chronic pain requires specialized knowledge, substantial time and access to multidisciplinary care. Judicial involvement of other professionals, such as psychologists, exercise and physical therapists and other health care professionals, who can offer extra physical or mental therapy while the physician continues to orchestrate the whole therapeutic process can be helpful.
- 19. Chronic Pain Medical Treatment Guidelines, 8 C.C.R. ss9792.20-9792.26, MTUS (effective July 18, 2009), Page 3 "recommended: Psychological evaluations are generally accepted, well established diagnostic procedures not only with selected use in pain problems, but also with more widespread use in chronic pain populations. Diagnostic evaluations should distinguish between conditions that re preexisting, aggravated by the current injury or work related. Psychosocial evaluations should determine if further psychosocial interventions are indicated. The interpretations of the evaluation should provide clinicians with a better understanding of the patient in their social environment, thus allowing for more effective rehabilitation. (Main-BMJ, 2002) (Colorado, 2002) (Gatchel, 1995) (Gatchel, 1999) (Gatchel, 2004) (Gatchel, 2005) In a large RCT the benefits of improved depression care (antidepressant medications and/or psychotherapy) extended beyond reduced depressive symptoms and included decreased pain as well as improved functional status. (Lin-JAMA, 2003)"
- 20. **ACOEM Guidelines 2004 Second Edition, Chapter 15,** Stress Related Conditions, Page 388, "Referral for mental health professional assessment may be considered for patients who anticipated absent from work will exceed one week.
- 21. ACOEM Guidelines 2004 Second Edition, Chapter 15, Stress Related Conditions, Page 398, "Serious conditions such as serious depression and schizophrenia be deferred to a specialist, while common psychiatric conditions, such as mild depression are referred to a specialist, after symptoms continue for more than six to eight weeks. The practitioner should use his or her best professional judgment in determining the type of specialist."

- Psychology, Center for Neuromuscular Sciences, Memorial Medical Center, 701
 N. First Street, Springfield, IL 62781, USA. meyer therese@mhsil.com
 The immediate and long-term outcome of a mutilating hand injury can be positively influenced by health care professionals adopting a biopsychosocial perspective toward treatment and management. Such an injury produces a psychological and social impact that should be openly and candidly addressed with the injured individual and with the family. The earlier and more skillfully these issues are addressed, the more likely it is that psychological factors will not impede functional outcome. J Hand Surg [Am]. 1990 May; 15(3):511-5.
- Early Psychological Aspects of Severe Hand Injury. Grunert BK, Smith CJ, 23. Devine CA, Fehring BA, Matloub HS, Sanger JR, Yousif NJ. Froedtert Memorial Lutheran Hospital, Milwaukee, Wisconsin 53225. We investigated the incident and nature of psychological symptoms occurring during the first two months after severe hand injuries. 94% of patients had significant symptoms at some point early in rehabilitation, including nightmares (92%) flashbacks (88%), affective lability (84%), preoccupation with phantom limb sensations (13%), concentration/attention problems (12%), cosmetic concerns (10%), fear of death (5%), and denial of amputation (3%). Two months later, flashbacks (63%) remained pronounced. Nightmares (13%), affective lability (48%), concentration/attention problems (5%), fear of death (0%), and denial of amputation (0%) declined markedly, while cosmetic concerns (17%) and preoccupation with phantom limb sensations (17%) increased. Based on these findings, we believe that psychological treatment should often be given as part of the rehabilitation process. Ann Plast Surg. 1992 Dec; 29(6):532-6.

PROOF OF SERVICE BY MAIL (1013a.2015.5. C.C.P.)

STATE OF CALIFORNIA, COUNTY OF ORANGE

I am employed in the county aforesaid; I am over the age of eighteen years and not a party to the within entitled action; my business address is 2107 N. Broadway, Suite 207, Santa Ana, CA 92706.

On 6/3/2020, I served the within Doctor's First Report dated O5.27.20 and bill regarding, Darlene Walls

on the appropriate parties in said action by placing a true copy therof enclosed in a sealed envelope with postage thereon fully prepaid, in the United States mail at Santa Ana, California, Addressed as follows:

Law Offices of Natalia Folev 5753 E. Santa Ana Cyn Rd #G616 Anaheim, CA 92807

Nelson J. Flores PH.D, QME 2107 N. Broadway Santa Ana, CA 92706 Amguard Ins.
P.O. Box 1368

Wilkes-Barre, PA 18703 Claim #:

Attn.

Sedgwick Claims Management P.O. Box 14563 Lexington, KY 40512 CL#:

Russell Legal 305 N. Harbor Blvd. Ste. 206 Fullerton, CA 92832

S.C.I.F. P.O. Box 65005 Fresno, CA 93650 Claim#: Attn.

Attn.:

I declare under penalty of perjury, that the foregoing is true and correct.

Executed on 6/3/2020 , at Santa Ana, California.

Monique Rico

cc: File